MAY 0 5 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY U ZOOZ

In realistication of: Douglas C. Elliott

Art Unit: 1621

Application No.: 09/851,678

Filed: May 8, 2001

For: PROCESS FOR LACTOSE CONVERSION

TO POLYOLS

Examiner: Elvis O. Price

Date: April 29, 2003

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on April 29, 2003 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Wayne W. Rupert Attorney for Applicant

TRANSMITTAL LETTER

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COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Enclosed is a Preliminary Amendment for the above application. The fee has been calculated as shown below.

		CLAIMS AS A	AMENE	DED		
For	No. after amendment	No. paid for previously		Present Extra	Rate	Fee
Total Claims	47	- 45*	=	2	\$9.00	\$ 18.00
Indep. Claims	6	- 6**	=	0	\$42.00	\$ 0.94
Mult. Dep. Claims Fee (if not previously paid)					\$140.00	
One-month Extension of Time					\$55.00	ECH SEX
Two-month Extension of Time					\$205.00	CENTA
Three-month Extension of Time					\$465.00	· •
TOTAL ADDITIONA	L FEE FOR THIS AM	IENDMENT				\$18.00

^{*} greater of twenty or number for which fee has been paid.

A check in the amount of \$18.00 is attached.

Please charge any additional fees that may be required in connection with filing this amendment and any extension of time, or credit any overpayment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.

^{**} greater of three or number for which fee has been paid.

Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

Wayne Rupert

Registration No. 34,420

One World Trade Center, Suite 1600

121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 226-7391 Facsimile: (503) 228-9446

cc: Docketing

WR:wr 04/29/03 184836

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Attorney Reference Number 23-56420 Application Number 09/851,67

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Wayne W. Bapert Attorney for Applicant

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PRELIMINARY AMENDMENT

A Request for Continued Examination (RCE) was filed in this application on February 5, 2003. Prior to considering the RCE, please enter the following preliminary amendment.

In the claims:

Please add the following claims:

46. (New) A method according to claim 19, wherein the enzyme comprises an immobilized enzyme.

47. (New) A method according to claim 39, wherein the enzyme comprises an immobilized enzyme.

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